

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigguia 22313-1450 www.usplu.gov

APPLICATION NUMBER

Thomas R.Berthold

5600 Cottle Road

San Jose, CA 95193

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/620,542

Hitachi IPLaw (NHGB/014-2)

07/15/2003

David T. Margulies

H\$J920030167U\$1

**CONFIRMATION NO. 9128** 

**FORMALITIES LETTER** 

\*OC000000011034489\*

Date Mailed: 10/14/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

# A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED		* Indicates
EXAMPLES OF FEES BEING ADJUSTED		fees remain
See <u>www.uspto.gov</u> for complete FY 2003 and FY-2004 Fee Schedules		at FY 2003
and FY-2004 Fee Schedules		amount
DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
	\$330	\$340
Design filing fee	\$165	\$170
Design filing fee (Small Entity)	\$520	\$530
Plant filing fee	\$260	\$265
Plant filing fee (Small Entity)	\$750	\$770
Reissue filing fee	\$375	\$385
Reissue filing fee (Small Entity)	\$84	\$86
Reissue independent claims Reissue independent claims (Small Entity)	\$42	\$43
Reissue independent claims (offiair Entry)	\$18	\$18*
Reissue claims in excess of twenty Reissue claims in excess of twenty (Small Entity)	\$9	<b>\$9*</b> :
Reissue claims in excess of twenty (ornal Entry)	\$160	\$160*
Provisional application filing fee Provisional application filing fee (Small Entity)	\$80	\$80*
Provisional application filling fee	\$50	\$50*
Surcharge - Late provisional filing fee Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Surcharge - Late provisional filling ree (ornali Entry)	\$130	\$130*
Non-English Specification	\$110	\$110*
Extension - First month	\$55	\$55*
Extension - First month (Small Entity)	\$410	\$420
Extension - Second month	\$205	\$210
Extension - Second month (Small Entity)	\$930	\$950
Extension - Third month	\$465	\$475
Extension - Third month (Small Entity)	\$1,450	\$1,480
Extension - Fourth month	\$725	\$740
Extension - Fourth month (Small Entity)	\$1,970	
Extension - Fifth month	\$985	\$1,005
Extension - Fifth month (Small Entity)	\$130	\$130*
Petition	\$50	\$50*
Processing returned checks	<b>430</b>	•

For further fee information, please call (703-308-HELP)